



UNIMETALS

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# Account Opening Form

## Company Legal Name:

## Legal Status:

<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Limited Liability Company
<input type="checkbox"/> Partnership Company	<input type="checkbox"/> Private Company
<input type="checkbox"/> Foreign Branch	<input type="checkbox"/> Public Company PJSC
<input type="checkbox"/> Free Zone Company	<input type="checkbox"/> Non-Profit Organization
Other _____	

## Other Information:

Trade License No.		Type of Business	
Issuing Country		Issuing Authority	
Date of Incorporation		Tax Identification Number	
Is Your Company registered on Go AML? (For UAE registered Companies Only)		<input type="checkbox"/> Yes <input type="checkbox"/> No	
Registered Phone Number		Fax Number	
Email Address		Website	
Registered Address			
Physical Address			
City		PO BOX	
State / Province		Country	

## a) Details of Shareholders

(Please provide the list of shareholders, which own 25% or more of your Company. These shareholders may be individuals or other companies. Please provide written independent evidence confirming the shareholder structure, such as memorandum or articles of association, registry extract, shareholder certificates, etc.)

Shareholding %	Type	Name	Address	Country	Date of Incorporation
	<input type="checkbox"/> Individuals <input type="checkbox"/> Corporate				
	<input type="checkbox"/> Individuals <input type="checkbox"/> Corporate				

	<input type="checkbox"/> Individuals <input type="checkbox"/> Corporate				
	<input type="checkbox"/> Individuals <input type="checkbox"/> Corporate				

**b) Ultimate Beneficial Owners**

(Please provide the list of Ultimate Beneficial Owners individuals or companies. Which owns 25% or more of your company. Please provide written independent evidence confirming the ownership, and valid passport copies for each individual)

Shareholding %	Type	Name	Address	Country	Date of Incorporation
	<input type="checkbox"/> Individuals <input type="checkbox"/> Corporate				
	<input type="checkbox"/> Individuals <input type="checkbox"/> Corporate				
	<input type="checkbox"/> Individuals <input type="checkbox"/> Corporate				
	<input type="checkbox"/> Individuals <input type="checkbox"/> Corporate				

**c) Key Management Persons / Directors**

(Please provide written independent evidence confirming the appointments and valid passport copies for each individual)

Title	Name	Address	Nationality	Passport Number

**d) Authorized Signatory** (List all individuals who are authorized to sign and transact for and on behalf of the Company and provide support in writing of such Authority. Also please provide any relevant Power of Attorney)

Title	Name	Email ID / Contact Number	Nationality	Specimen Signature

Politically Exposed Persons (PEPs)		
If any of above individuals mentioned as (a), (b), (c) or (d) Politically exposed persons (PEP)s or close relatives / associates of a PEP?	<input type="checkbox"/> No	<input type="checkbox"/> Yes (Please provide details)

Business Information	
Types of Business Activity	<input type="checkbox"/> Copper & Base Metal Refinery <input type="checkbox"/> Precious Metal Refinery <input type="checkbox"/> Petrochemical Refinery <input type="checkbox"/> Pharmaceutical or Agrochemical Producer <input type="checkbox"/> Electronics Manufacturer <input type="checkbox"/> Industrial Company <input type="checkbox"/> Catalytic Converter Trader, Mechanic, or Automotive Company <input type="checkbox"/> Precious Metal Trader <input type="checkbox"/> Jewellery Manufacturer <input type="checkbox"/> Jewellery Wholeseller or Retailer <input type="checkbox"/> Other (Please Specify) _____
License Type	<input type="checkbox"/> Industrial <input type="checkbox"/> Commercial <input type="checkbox"/> Trading <input type="checkbox"/> Others (Please Specify) _____
Total No. of Employees in Company / Group	
Total No. of Years in Industry	

Bank Information			
Account Name / Title			
Bank Name			
Account Number			
IBAN Number			
Bank Address		City	
		Country	
Swift Code			
Currency			

### Compliance Information

Do you have an Appointed Compliance Officer?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
Did your company establish a conformity program that contains AML/CFT policies and procedures according to UAE and international laws, rules, and regulations? If yes, please provide a copy	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
Does your company comply with the OECD Due Diligence Guidance for Responsible Supply Chain of Minerals from Conflict-Affected and High-Risk Areas?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
Does your company have policies and procedures that covers human rights?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
Does your company have an anti-bribery and corruption policy? If yes, please provide a copy	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
Does your company have a whistleblower policy in place? If yes, please provide a copy.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
Does your company have a policy consistent with the standards set forth in the LBMA Gold Guidance? If yes, please provide a copy	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
Does your company have a policy consistent with the standards set forth in the EBC Rules for Risk Based Due Diligence in the Gold Supply Chain? If yes, please provide a copy	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A

### Declaration

- ☐ I hereby acknowledge and declare that all the information provided in this Know Your Customer (KYC) registration form is true and complete and I undertake to inform you of any changes.
- ☐ I/We hereby confirm that we have read and understood the following the guidance and policies listed below, and we undertake to review it thoroughly and to comply with its provisions of OECD Due Diligence Guidance for Responsible Supply Chain of Minerals from Conflict-Affected and High-Risk Areas and Unimetals Group Supply chain Policy.
- ☐ I/We hereby warrant to Unimetals Group that the precious metals contained in the products to be delivered to Unimetals Group for treatment or trading have been sourced through legal means and from legitimate sources not involved in funding conflict or non-compliance with any United Nations sanctions, resolutions, or human rights violations.

- ☐ I/We confirm that we are observing and complying with domestic and international laws, rules, and regulations, including Form those governing the illicit trade in precious metals and the United Nation Security Council (UNSC) Sanctions.
- ☐ I hereby give unconditional and irrevocable written consent to Unimetals Group, agents and authorized staff and any third-party service providers for disclosure, sharing, usage, processing and searching of my information and records as required by UAE Anti Money Laundering and Combatting of Terrorism Laws.
- ☐ I agree that any duplication and any copy, photocopy, electronic data, or facsimile which have been made as a copy from this original consent by means of photocopying, image scanning, or recording in whatever forms shall be deemed as evidence of consent with the same effect as its original.
- ☐ I shall indemnify and hold Unimetals Metal Industries safe from any claims howsoever arising from as a result of such sharing, searching, usage, processing or disclosure of account information and data.

**Signature &  
Stamp**

*Signature of authorised signatory*

**Name**

*Name of authorised signatory*

**Date of signature**

*DD MM YY*

Please return this completed document hand delivered or via email:

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