



UNIMETALS

t: +44 77 9921 5982
t: +971 55 572 7688
e: info@unimetals.com

www.unimetals.com

Supply Chain Questionnaire

Company Information			
Company Legal Name		Trade License No.	
Issuing Country		Issuing Authority	
Date of Incorporation		Tax Identification No.	
Email Id		Website	
Registered Phone No.		Fax No.	
Registered Address			
Physical Address			
City		PO Box	
State / Province		Country	

Origin of Material for Recycling and Reclamation	
<input type="checkbox"/> Copper & Base Metal Refinery	<input type="checkbox"/> Catalytic Converter Trader, Mechanic, or Automotive Company
<input type="checkbox"/> Precious Metal Refinery	<input type="checkbox"/> Precious Metal Trader
<input type="checkbox"/> Petrochemical Refinery	<input type="checkbox"/> Jewellery Manufacturer
<input type="checkbox"/> Pharmaceutical or Agrochemical Producer	<input type="checkbox"/> Jewellery Wholeseller or Retailer
<input type="checkbox"/> Electronics Manufacturer	<input type="checkbox"/> Other (Please Specify)
<input type="checkbox"/> Industrial Company	_____

Production Facilities		
Does the Company have Metals refining facilities?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Does the Company have any melting facilities?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Does the Company produce Jewellery?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Supply Chain Details	
1. Does your company engage in trading, dealing, transporting, shipping, smelting and other activities relating to precious metals?	
2. If yes, kindly describe the company's operations.	
3. Profile of your precious metal's suppliers: (i.e. Individual / Company / Artisanal Miner, Small Scale Miner, Gold/Precious Metals Exporter) – Please indicate approx. percentage of volume per supplier type	
4. What are the country(ies) of origin of the material for processing and recycling which you intend to delivered to us?	
5. Is your company required to obtain a license to deal with, import or export precious metals? If yes, kindly attached a copy.	
6. Do the precious metals you process or trade originate from or transit through a conflict affected and human right abuse high risk areas (CAHRA) as defined on the OECD CAHRA list?	
7. Has your company established and implemented a responsible gold or supply chain policy to ensure that gold suppliers' sources are legal and responsible? If yes, kindly attached a copy of the policy	
8. Does your company conduct due diligence on the origin of the metals you receive and inspect the authenticity and credibility of the source of precious metals disclosed by all of the entities in the supply chain prior to delivery to your company?	
9. When contracting with new suppliers, does your company inquire about the identity of the mines, locations of gold processing, methods of processing and transportation, to ensure its compliance with the OECD guidance?	

Supply Chain Details	
10. Does your company ensure that the mine sites from which gold is extracted from have (i) proper legal control of the mine site, transportation routes and dealers; (ii) does not extort or tax money or minerals from the mine and the transportation routes; (iii) is not engaging in any business practice that is causing a human rights violation or contributing to conflict?	

Signature & Stamp	Name
<i>Signature of authorised signatory</i>	<i>Name of authorised signatory</i>
Date of signature	
<i>DD</i>	<i>MM</i> <i>YY</i>

Please return this completed document hand delivered or via email to:
Compliance.uae@unimetals.com